



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Liming Tsau

Appl. No. 09/753,664

Filed: January 4, 2001

For: **High Density Metal Capacitor  
Using Via Etch Stopping Layer as  
Field Dielectric in Dual-  
Damascence Interconnect Process**

Confirmation No.: 1902

Art Unit: 2812

Examiner: To be assigned

Atty. Docket: 1875.0230000

**Reply to Notice of Incomplete Reply**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice of Incomplete Reply dated August 31, 2001, Applicant submits that the Notice of Incomplete Reply stating a requirement for substitute drawings was issued in error.

In a September 6, 2001, telephone conversation with the Office of Initial Patent Examination, the undersigned was informed that, according to the U.S. Patent and Trademark Office database, the formal drawings filed in response to the Notice to File Corrected Application Papers on July 23, 2001, had not yet been matched up with the file. The undersigned was then instructed to submit copies of the papers previously filed in response to the Notice to File Corrected Application Papers as proof that the drawing requirement has already been met. Applicant therefore submits concurrently herewith copies of all documents filed on July 23, 2001.

Applicants believe the issuance of the Notice of Incomplete Reply was a U.S. Patent  
and Trademark Office mistake and therefore the payment of extension fees is not required.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: 9/21/01.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,664	01/04/2001	Liming Tsau	1875.0230000

CONFIRMATION NO. 1902

## FORMALITIES LETTER



\*OC000000006501627\*

Date Mailed: 08/31/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*


The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE